



SN. 09/591,147

2800

#4/mis. letter
WV/irga-
12/10/02

CAROL A. TRUFANT, PH.D.
P.O. Box 14695
BERKELEY, CA 94712-5695
AUGUST 2, 2000

RECEIVED

ASSISTANT COMMISSIONER FOR PATENTS
OFFICE OF INITIAL PATENT EXAMINATION
CUSTOMER SERVICE CENTER
WASHINGTON, DC 20231

11/11/00

TECH CENTER 1600/2800

To Whom It May Concern:

ENCLOSED FIND VARIOUS DOCUMENTS SUPPORTING MY CONTENTION, COMPLAINT
PUZZLEMENT AT NOT RECEIVING A RECEIPT FOR MY UTILITY APPLICATION; I DID
RECEIVE THE RECEIPT FOR THE DESIGN APPLICATION IN LATE JULY 2000.

THEY WERE IN THE SAME ENVELOPE

IF YOU SENT THE UTILITY APPLICATION RECEIPT, THEN SOMEONE TOOK IT OUT
OF THE ENVELOPE. ENCL (1) SHOWS A COPY OF THE ENVELOPE AS I FOUND IT,
UNSEALED WITH A PIECE OF TAPE ACROSS IT MAKING IT EASY TO OPEN AND
REMOVE CONTENTS.

ENCL (2) SHOWS RECEIPT I RECEIVED, APPLICATION # 29/1244,705, ENTRY
ENTERED BY DOROTHY BELL. IF SHE FOUND ONLY ONE APPLICATION IN THE
FILE, THEN SOMEONE IN THE US POSTAL SERVICE TOOK IT OUT AFTER I MAILED
THE PACKAGE..OR SOMEONE IN YOUR ORGANIZATION HAS IT, TOOK IT ????

ENCL (3) SHOWS FORM #10-1: PATENT APPLICATION TRANSMITTAL LETTER DATED
6-9-00. IT SHOWS EXPRESS MAIL # EE 672 257 550 US, AND THE CONTENTS,
PLUS 2 CHECKS ONE FOR \$345 UTILITY APPLICATION, AND ONE FOR \$155 FOR
DESIGN APPLICATION.

ENCL (4) IS A COPY OF MY MONEY ORDER RECEIPT SHOWING \$345. PAID TO
COMMISSIONER OF PATENTS AND TRADEMARKS.

WHERE IS MY MATERIAL? I WORKED HARD ON THIS APPLICATION AND I DO NOT
LIKE THE IMPLICATION THAT SOMEONE HAS TAMPERED WITH IT!

ENCLOSURE (5) IS A COVER LETTER STATING THAT I SENT IN CORRECTED
MATERIAL FOR BOTH APPLICATIONS ON 6-24-00. THIS MATTER HAS GIVEN ME
THE OPPORTUNITY TO TELL YOU ABOUT THIS SO YOU CAN FIND THIS SECOND
PACKAGE AND PUT THEM BOTH TOGETHER. THE EXPRESS MAIL # FOR THE 2ND
PACKAGE IS EE 581 540 381 US.

ENCL (6) IS THE UTILITY PATENT APPLICATION TRANSMITTAL AS IT LOOKS WITH
THE UPDATED MATERIAL ADDED SUCH AS MY PROPOSAL OF 11/28/89.
THIS LTR COPY WAS SENT WITH THE 6-24-00 MATERIAL.

ENCL (7) ARE COPIES OF 2 LISTS OF CONTENTS TO BE USED IN PLACE OF THE

POSTCARDS. MATERIAL SENT 6-9-00, AND 6-24-00 IS LISTED AND WAS SENT FOR BOTH DESIGN AND UTILITY APPPLICATIONS .

PLEASE ADVISE AS SOON AS POSSIBLE.

DID YOU RECEIVE MY UTILITY PACKAGE OF 6-9-00 W/MO FOR \$345.?

DID YOU RECEIVE MY SECOND PACKAGE WITH CORRECTIONS TO BOTH DESIGN AND UTILITY APPLICATIONS? EXPRESS MAIL # EE 581 540 381 US?

SINCERELY,



CAROL A. TRUFANT, PH.D.
LICENSED PSYCHOLOGIST
CALIF AND WISCONSIN



Organization

Bldg/Room

**U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**

WASHINGTON, D.C. 20231
IF UNDELIVERABLE RETURN IN TEN DAYS

IF UNDELIVERABLE RETURN

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OFFICIAL BUSINESS

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FILING RECEIPT


OC000000005227484

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

 Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231


APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
29/124,705	06/09/2000	2900	155	-	2	1	1

Carol A Trufant Ph D
P O Box 14695
Berkeley, CA 94712-5695

Date Mailed: 07/10/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

RECEIVED

Carol Ann Trufant, Oakland, CA ;

AUG 11 2000

Continuing Data as Claimed by Applicant

TECH CENTER 1600/2900

Foreign Applications
If Required, Foreign Filing License Granted 07/07/2000
**** SMALL ENTITY ****
Title

Intergroup working model for social conflict resolution

Preliminary Class

D01

Data entry by : BELL, DOROTHY

Team : OIPE

Date: 07/10/2000



Encl (2)

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

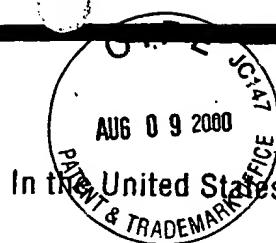
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



In the United States Patent and Trademark Office

Mailed 200 6-9-00

Box Patent Application

Assistant Commissioner for Patents

Washington, District of Columbia 20231

Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name: CAROL A TRUFANT Ph.D

Applicant #2, Name:

Title: An InterGroup Working Model for Social Conflict
 Specification, Claims, and Abstract: Nr. of Sheets 6 on application Resolution

Declaration: Date Signed: 6-9-00

Drawing(s): Nr. of Sheets Enc.: Formal: 2 Informal: _____

Small Entity Declaration of Inventor(s) SED of Non-Inventor / Assignee / Licensee

MM 11 2000

Assignment enclosed with cover sheet and recordal fee; please record and return.

Check for \$ 345 for: Utility + \$155 for Design

TECH CENTER 1600/2900

\$345 + 155 for filing fee (not more than three independent claims and twenty total claims are presented).

\$ _____ additional if Assignment is enclosed for recordal.

Information Disclosure Statement, Form PTO-1449, and listed references. abstract of?

Disclosure Document Program reference letter.

Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr. _____

filed _____

Return Receipt Postcard Addressed to Applicant #1.

Request Under MPEP § 707.07(j): The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

Very respectfully,

Carol A Trufant
Applicant #1 Signature
PO Box 14695

Address (Send Correspondence Here)

Berkeley CA 94712-5695

Applicant #2 Signature

Address

Express Mail Label #

EE672257550US

: Date of Deposit 200

6-9-00

CUSTOMER'S RECEIPT DO NOT SEND THIS RECEIPT FOR PAYMENT
KEEP IT FOR YOUR RECORDS

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SERIAL NUMBER		YEAR, MONTH, DAY	U. S. DOLLARS AND CENTS
PAY TO COMMISSIONER OF PATENTS AND TRADEMARKS		POST OFFICE	
ADDRESS		CHECKWRITER IMPRINT AREA	
C.O.D. NO. OR USED FOR		FROM	CAROL A. TRUFANT, PH.D.
UTILITY PATENT		ADDRESS	P.O. Box 14695
BASIC FEE		YEAR	1995
BERKELEY, CA		MONTH	
94712-5695		DAY	



This receipt is your guarantee for a refund of your money order if it is lost or stolen, provided you fill in the "Pay To" and "From" information on the money order in the space provided. No claim for improper payment permitted 2 years after payment. If your money order is lost or stolen, present this receipt and file a claim for a refund at your Post Office.

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10/11/00

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NC (4)

EE581540381US

EE 581 540 381 US

EE581540381US

CAROL A. TRUFANT, PH.D.
P.O. Box 14695
BERKELEY, CA 94712-5695
JUNE 24, 2000

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
WASHINGTON, DC 20231

FOLLOW-UP CORRESPONDENCE
RE: UTILITY & DESIGN
APPLICATIONS

ASSISTANT COMMISSIONER:

I HAVE TAKEN THE LIBERTY TO SEND CORRECTED MATERIAL.

FIRST, I FORGOT TO INCLUDE HISTORY, REVIEW OF LITERATURE FOR BOTH UTILITY AND PATENT APPLICATIONS. THAT MATERIAL IS ENCLOSED. I HAVE LISTED ON A COVER RETURN SHEET ALL THAT IS INCLULDED TODAY AT THE BOTTOM. EACH LIST IS ATTACHED TO EITHER UTILITY OR DESIGN APPLICATION.

ALSO ENCLOSED FIND COPIES OF ORGINAL MAILING RECEIPT FOR EXPRESS MAIL SHOWING I TIMELY FILED ON JUNE 9, 2000.

I HAVE AGAIN SENT MATERIAL EXPRESS MAIL. THE NUMBER IS AT TOP OF LETTER.

I LOOK FORWARD TO HEARING FROM YOU.

SINCERELY,

Carol A. Trufant

CAROL A. TRUFANT, PH.D.
LICENSED PSYCHOLOGIST
CALIFORNIA & WISCONSIN

PS: YOU HAVE MY SELF-ADDRESSED STAMPED ENVELOPE TO USE TO RETURN MY LIST OF MATERIAL SENT; ENVELOPE WAS SENT WITH ORIGINAL PACKAGE. ANOTHER ENVELOPE STAMPED & SELF-ADRESSED IS ENCLOSED.

I HAVE NOT HEARD FROM YOU AS YET.

Enc 1 (5)

REVISED

Please type a plus sign (+) inside this box →

PTO/SB/05 (4/98)

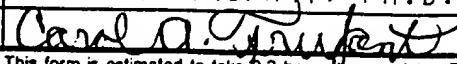
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>		Attorney Docket No. First Inventor or Application Identifier C. TRUFANT Title AN INTERGROUP WORKING MODEL FOR Express Mail Label No. EE 672 257 550 US
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APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages <input type="text" value="1"/>] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention <input checked="" type="checkbox"/> - Brief Summary of the Invention <input checked="" type="checkbox"/> - Brief Description of the Drawings (if filed) <input checked="" type="checkbox"/> - Detailed Description <input checked="" type="checkbox"/> - Claim(s) <input checked="" type="checkbox"/> - Abstract of the Disclosure 		6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input type="text" value="2"/>]		ACCOMPANYING APPLICATION PARTS
4. Oath or Declaration [Total Pages <input type="text" value="2"/>]		7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of <small>(when there is an assignee)</small> <input type="checkbox"/> Attorney 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS 11. <input type="checkbox"/> Preliminary Amendment ARTICLE, P.160 12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (161); <small>(Should be specifically itemized)</small> PROPOSAL * Small Entity <input type="checkbox"/> Statement filed in prior application, <small>(PTO/SB/09-12)</small> 11-28-89 13. <input checked="" type="checkbox"/> Statement(s) <input type="checkbox"/> Status still proper and desired 14. <input type="checkbox"/> Certified Copy of Priority Document(s) PROG <small>(if foreign priority is claimed)</small> COPY OF PS; 15. <input checked="" type="checkbox"/> Other: POSTER SESSION, 6/99 PLAN OF R.S.R.C.H. 2000: MODEL...AS DESIGN, 2/00; QUA...L C.I.R.C.L.E. USE 3/00
<small>* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</small>		

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) <input type="checkbox"/> of prior application No: _____ Prior application information: Examiner _____ Group / Art Unit: _____					
<small>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</small>					

17. CORRESPONDENCE ADDRESS						
<input type="checkbox"/> Customer Number or Bar Code Label <small>(Insert Customer No. or Attach bar code label here)</small>		or <input checked="" type="checkbox"/> Correspondence address below				
Name	CAROL A. TRUFANT					
	Address	P.O. BOX 14695				
City		BERKELEY		State	CA	Zip Code
	Country	USA		Telephone	510.653-1294	Fax

Name (Print/Type)	CAROL A. TRUFANT, PH.D.		Registration No. (Attorney/Agent)
Signature			Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Encl (6)

LIST IN LIEU OF POSTCARD TO BE RETAINED IN STAMPED SELF-
ADDRESSED ENVELOPE

UTILITY PATENT APPLICATION - 6-9-00

UTILITY PATENT APPLICATION TRANSMITTAL - 1

Fee TRANSMITTAL FOR FY 200 1

MONEY ORDER FOR \$345.-

STATEMENT CLAIMING SMALL ENTITY STATUS - 1

UTILITY PATENT APPLICATION - SPECIFICATION - 6 PAGES

DRAWINGS - FIG 1 & 3
FIG 3

DECLARATION - 2 PP

EXTRA MATERIAL

POSTER SESSION 5 PP

POSTER SESSION PROGRAM SHOWING WHEN I PRESENTED 6-10-99

PLAN OF RESEARCH 5 PP + 2 FIGURES 1 & 3, & 1

DESIGN PROPOSAL - 2-14-00 - 2 PP + FIG 1 & 3

DESCRIPTION OF MODEL 'S USE FOR QUALITY CIRCLES IN THE WORKPLACE

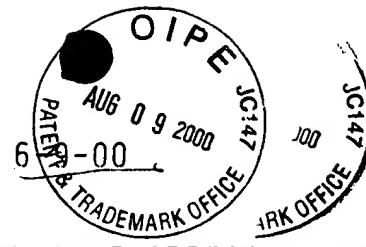
6 PP INCLUDING MODEL (FIG. 1 & 3

6-24-00

- 1) PROPOSAL/ REVIEW OF LITERATUREM METHODS, HYPOTHESES-5TH VERSION, 11/89
- 2) P. 160 (& 161), CHRIS ARGYRIS', OVERCOMING ORGANIZATIONAL DEFENSES....
RE: NEEDING INFO CONCEPT MENTIONED IN MY MODEL.
- 3) REVISED ABSTRACT OF DISCLOSURE - REPLACEMENT P
- 4) REVISED FIG 2 (ADDED 1 LEGEND ITEM & DEFINITION (BOS=BOUNDARY SPACE)
- 5) REVISED SPECIFICATION ESPECIALLY ADDED HYPOTHESES TO BRIEF SUMMARY
OF THE INVENTION (Revised Summary)
- 6) REVISED UTILITY PATENT APPLICATION TRANSMITTAL - 1

Encl (1)

DESIGN PATENT APPLICATION



IN LIEU OF POSTCARD TO BE RETURNED IN STAMPED SELF-ADRESSED ENVELOPE

PREAMBLE - P 1

SPECIFICATION P. 2

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FIG 1 & 3 P. 3

11 2000

FIG 2 P. 4
DECLARATION P. 5 & 6

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FEE TRANSMITTAL FOR FY 2000 P. 7

MONEY ORDER FOR \$ 155.

STATEMENT CLAIMING SMALL ENTITY STATUS P. 8

BRIEF SUMMARY OF THE INVENTION P. 9 & 10

ABSTRACT OF THE DISCLOSURE P. 11

EXTRA MATERIAL

POSTER SESSION 5 PP

POSTER SESSION PROGRAM SHOWING WHEN I PRESENTED 6-10-99

PLAN OF RESEARCH 5 PP + 2 FIG 1 & 3, & 1

DESIGN PROPOSAL 2-14-00 2PP + FIG 1 & 3

DESCRIPTION OF MODEL 'S USE FOR QUALITY CIRCLES IN THE WORKPLACE

6 PP INCL MODEL (FIG 1 & 3)

6-24-00

- 1) PROPOSAL / REVIEW OF LITERATURE, METHODS, HYPOTHESES,
- 2) P. 160(& 161), CHRIS ARGYRIS', OVERCOMING ORGANIZATIONAL DEFENSES...
- 3) REVISED ABSTRACT OF DISCLOSURE RE NEED FOR INFORMATION
- 4) REVISED FIGURE 2 (ADDED 1 LEGEND ITEM & DEFINITION(BOS=BOUNDARY SPACE)
- 5) BRIEF SUMMARY OF THE INVENTION (Revised)
- 6) REVISED DESIGN PATENT APPLICATION , PAGE 1